

UTILITY PATENT APPLICATION TRANSMITTAL

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(Only for new nonprovisional applications under 37 CFR §1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 204001

First Named Inventor Joseph C. GLORIOSO

Express Mail No. EL305738096US

JC564 U.S. PTO
09/506301

APPLICATION ELEMENTS

1. ☒ Utility Transmittal Form
2. ☒ Specification (including claims and abstract) [Total Pages 17]
3. ☐ Drawings [Total Sheets]
4. ☐ Combined Declaration and Power of Attorney [Total Pages]
 - a. ☐ Newly executed
 - b. ☐ Copy from prior application [Note Box 5 below]
 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy
 - c. ☐ Statement verifying above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet and document(s))
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
 - ☐ Form PTO-1449
 - ☐ Copies of References
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☒ Small Entity Statement(s)
 - ☒ Enclosed
 - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Patent Application Cover Sheet (1pg); Filing Fee

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information in (a) and (b) below:

- (a) ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. _____
Prior application information: Examiner _____; Group Art Unit: _____
- (b) Preliminary Amendment: Relate Back - 35 USC §120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:
"This is a ☐ continuation ☐ divisional of copending application(s)
☐ Serial No. _____, filed on _____
☐ International Application, filed on _____, and which designates the U.S."

APPLICATION FEES

| | | | | |
|---|--------------|--------------|------------|--------------|
| BASIC FEE | | | | \$690.00 |
| CLAIMS: | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 20 -20= | 0 | x \$18.00 | \$0.00 |
| Independent Claims | 1 - 3= | 0 | x \$78.00 | \$0.00 |
| <input type="checkbox"/> Multiple Dependent Claims(s) if applicable | | | + \$260.00 | \$ |
| Total of above calculations = | | | | \$690.00 |
| Reduction by 50% for filing by small entity = | | | | \$(\$345.00) |
| <input type="checkbox"/> Assignment fee if applicable | | | + \$40.00 | \$ |
| TOTAL = | | | | \$345.00 |

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 204001

19. ☐ Please charge my Deposit Account No. 12-1216 in the amount of \$.
20. ☒ A check in the amount of \$345.00 is enclosed.
21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR §1.16.
 - b. ☒ Fees required under 37 CFR §1.17.
22. ☒ The Commissioner is hereby generally authorized under 37 CFR §1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR §1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

23. CORRESPONDENCE ADDRESS

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Name M. Daniel Hefner

Signature

Date February 17, 2000

Certificate of Mailing Under 37 CFR §1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR §1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

| | | |
|------------------------|---|-------------------|
| Dan Hefner |  | February 17, 2000 |
| Name of Person Signing | Signature | Date |

00506301-021700

PATENT APPLICATION

Invention Title:

ADENO-ASSOCIATED VIRAL GENE-TRANSFER VECTOR SYSTEM

Inventors:

| | | | |
|--------------------|-------------|-------------------|--------------------------|
| Joseph C. GLORIOSO | US | Cheswick | Pennsylvania |
| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |

| | | | |
|-----------------|-------------|-------------------|--------------------------|
| Xiao XIAO | China | Wexford | Pennsylvania |
| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |

| | | | |
|-----------------|-------------|-------------------|--------------------------|
| David M. KRISKY | US | Pittsburgh | Pennsylvania |
| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |

Be it known that the inventors listed above have invented a certain new and useful invention with the title shown above of which the following is a specification.

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